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Attorney Docket No. APPL-001/00US

**PATENT** 

Express Mail Label Number: EV778911180US

Date of Deposit: 5/15/06

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Daxmara Sanchez

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard Newcomb et al.

Confirmation No.:

8871

Serial No.:

10/820,896

Art Unit No.:

1762

Filed:

04/08/04

**Examiner:** 

Not Yet Assigned

Title: "POWER COUPLING FOR HIGH-POWER SPUTTERING"

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following supplemental information in conformance with 37 C.F.R. §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached  $\prod$ Form PTO/SB/08 is enclosed.
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- [X]No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.

This Information Disclosure Statement is filed within any one of the following time periods:

- [] within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- [] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- [X] before the mailing date of a first office action on the merits; or
- [] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656

Tel: (703) 456-8000 Fax: (703) 456-8100 Respectfully submitted, COOLEY GODWARD LLP

By:

Wayne O. Stacy Reg. No. 45,125 Express Mail Label Number: EV778911180US Dete of Deposit: 5/9/06

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or Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Daxmara Sanchez

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard Newcomb et al.

**Confirmation No.:** 8871

Serial No.:

10/820,896

Art Unit No.:

1762

Filed:

04/08/04

Examiner:

Not Yet Assigned

Title: "POWER COUPLING FOR HIGH-POWER SPUTTERING"

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Supplemental Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[X]	the IDS is required.
[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
	[] a statement as specified in 37 C.F.R. §1.97(e).
[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.

- Check No. \_\_ in the amount of \$\_\_ for the total fee is attached.
- [X] A return receipt postcard is also enclosed.

[] Please charge \$\_\_\_ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656

Tel: (703) 456-8000 Fax: (703) 456-8100

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Respectfully submitted, COOLEY GODWARD LLP

By:

Wayne O. Stacy Reg. No. 45,125

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet			1 of 2	

A TRADEMAN	Complete if Known	
Application Number	10/820,896	
Filing Date	April 8, 2004	
First Named Inventor	Richard Newcomb et al.	_
Group Art Unit	1762	
Examiner Name	Not Yet Assigned	
Attorney Docket Number	APPL-001/00US	

	Cite No. <sup>1</sup>	U.S. Patent Document			D . CD 111 . CT		
Examiner Initials*		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
	1.	5,231,057		Doki et al.	07/27/1993		
	2.	5,643,638		Otto et al.	07/01/1997		
	3.	5,985,375		Donohoe et al.	11/16/1999		
	4.	6,177,148		Walther et al.	01/23/2001		
	5.	6,720,037		Aota et al.	04/13/2004		
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Examiner	•	• •		Date			 					
Signature				Considered		•						
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number.
 See attached Kinds of U.S. Patent Documents.

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Sub	stitute for form 1449A/PTO		Complete if Known
		Application Number	10/820,896
IN	FORMATION DISCLOSURE	Filing Date	April 8, 2004
ST	TATEMENT BY APPLICANT	First Named Inventor	Richard Newcomb et al.
		Group Art Unit	1762
	(use as many sheets as necessary)	Examiner Name	Not Yet Assigned
Sheet	2 of 2	Attorney Docket Number	APPL-001/00US

Examiner Initials*	Cite No.1		Foreign Patent Docume	nt		Date of Publication	
	No.	Office <sup>1</sup>	Number <sup>2</sup>	Kind Code <sup>3</sup> (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	T⁴
		DE	19616187A1 (New 2006)		Dr. Willi Neff	04/23/1996	
		DE	19717127A1 (New 2006)	)	Dr. Willi Neff	04/23/1997	
		EP	0710429B1 (New 2006)		Jonathan Reschke et al.	06/23/1999	
		GB	2400613A (New 2006)		Franz Laermer	10/20/2004	
		JP	10104862A (New 2006)		Kyocera Corp.	09/30/1996	
		JP	11026433A (New 2006)		Matsushita Electronics Corp.	07/02/1997	
		JP	5051753A (New 2006)		Nisshin Electrical Co.	08/22/1991	

_	OTHER – NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Citė No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>					
	<u> </u>							

Examiner	Date	
Signature	Considered	·

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
<sup>2</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
<sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>&</sup>lt;sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.

Unique citation designation number.
 Applicant is to place a check mark here if English language Translation attached.